



Attorney Docket No. UCIVN-035A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
Kenneth J. Shea, et al.)	Art Unit: 1614
)	
Serial No.: 10/810,497)	Examiner: Not Assigned
)	
Filed: March 25, 2004)	
)	
For: Compositions and Methods for)	
Inducing CD81 Dependent)	
Antiproliferation and for)	
<u>Treating Hepatitis C and Other Disorders</u>)	

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**Response to Notice to File Missing Parts
Transmittal Letter**

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application under 37 CFR 1.53(b) mailed June 8, 2004.

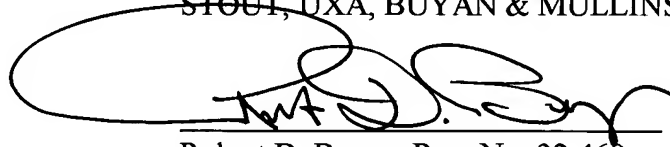
- ☒ Enclosed is a Declaration of Inventorship and Limited Power of Attorney is enclosed.
- ☒ Enclosed is an Assignment of the invention to The Regents of the University of California, including a recordation cover sheet.
- ☒ Enclosed is a Power of Attorney by Assignee and Exclusion of Inventor(s) under 37. CFR 3.71.
- ☒ Enclosed is an Preliminary Amendment.
- ☐ The following declaration(s) in support of Small Entity status for this application are enclosed:
 - ☐ Inventor(s).
 - ☐ Individual other than inventor.
 - ☐ Nonprofit organization.

☐ Small business concern.

- ☒ Check No. 3500 in the amount of \$490.00.
- ☒ The Commissioner is hereby authorized to charge any underpayment and credit any overpayment of the filing fees required under 37 CFR § 1.16 and any patent application processing fees required under 37 § CFR 1.17 to Deposit Account No.50-0878.

Respectfully submitted,
STOUT, UXA, BUYAN & MULLINS, LLP

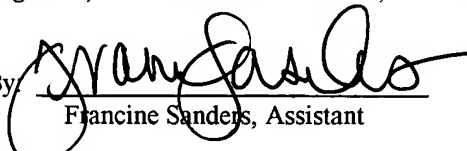
Date: August 6, 2004


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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 6, 2004.

Dated: August 6, 2004

By: 
Francine Sanders, Assistant

LFW



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/810,497	03/25/2004	Kenneth J. Shea	UCIVN-035A

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CONFIRMATION NO. 8117

FORMALITIES LETTER



OC000000012896063

Date Mailed: 06/08/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/10/2004 BABRAHA1 00000051 10810497

FILED UNDER 37 CFR 1.53(b)

01 FC:2001 385.00 OP
 02 FC:2051 65.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An abstract of the technical disclosure not exceeding 150 words in length and commencing on a separate sheet in compliance with 37 CFR 1.72(b) is required. An abstract was not provided for this application.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is ~~\$900~~ for a ~~Large~~ Entity

- 450 small
385
65
- ~~\$770~~ Statutory basic filing fee.
 - ~~\$130~~ Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

Freemium

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE